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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/634,431	08/05/2003	Wolfgang Guba	21152	8300
151	7590	08/28/2006	EXAMINER	
HOFFMANN-LA ROCHE INC. PATENT LAW DEPARTMENT 340 KINGSLAND STREET NUTLEY, NJ 07110			ART UNIT	PAPER NUMBER

DATE MAILED: 08/28/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

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<b>Notification of Non-Compliant Appeal Brief (37 CFR 41.37)</b>	<b>Application No.</b> 10/634,431	<b>Applicant(s)</b> GUBA ET AL.	
	<b>Examiner</b> Laura L. Stockton, Ph.D.	<b>Art Unit</b> 1626	

**--The MAILING DATE of this communication appears on the cover sheet with the correspondence address--**

The Appeal Brief filed on 22 June 2006 is defective for failure to comply with one or more provisions of 37 CFR 41.37.

To avoid dismissal of the appeal, applicant must file an amended brief or other appropriate correction (see MPEP 1205.03) within **ONE MONTH or THIRTY DAYS** from the mailing date of this Notification, whichever is longer.  
**EXTENSIONS OF THIS TIME PERIOD MAY BE GRANTED UNDER 37 CFR 1.136.**

1. ☒ The brief does not contain the items required under 37 CFR 41.37(c), or the items are not under the proper heading or in the proper order.
2. ☐ The brief does not contain a statement of the status of all claims, (e.g., rejected, allowed, withdrawn, objected to, canceled), or does not identify the appealed claims (37 CFR 41.37(c)(1)(iii)).
3. ☐ At least one amendment has been filed subsequent to the final rejection, and the brief does not contain a statement of the status of each such amendment (37 CFR 41.37(c)(1)(iv)).
4. ☒ (a) The brief does not contain a concise explanation of the subject matter defined in each of the independent claims involved in the appeal, referring to the specification by page and line number and to the drawings, if any, by reference characters; and/or (b) the brief fails to: (1) identify, for each independent claim involved in the appeal and for each dependent claim argued separately, every means plus function and step plus function under 35 U.S.C. 112, sixth paragraph, and/or (2) set forth the structure, material, or acts described in the specification as corresponding to each claimed function with reference to the specification by page and line number, and to the drawings, if any, by reference characters (37 CFR 41.37(c)(1)(v)).
5. ☐ The brief does not contain a concise statement of each ground of rejection presented for review (37 CFR 41.37(c)(1)(vi)).
6. ☐ The brief does not present an argument under a separate heading for each ground of rejection on appeal (37 CFR 41.37(c)(1)(vii)).
7. ☐ The brief does not contain a correct copy of the appealed claims as an appendix thereto (37 CFR 41.37(c)(1)(viii)).
8. ☒ The brief does not contain copies of the evidence submitted under 37 CFR 1.130, 1.131, or 1.132 or of any other evidence entered by the examiner **and relied upon by appellant in the appeal**, along with a statement setting forth where in the record that evidence was entered by the examiner, as an appendix thereto (37 CFR 41.37(c)(1)(ix)).
9. ☐ The brief does not contain copies of the decisions rendered by a court or the Board in the proceeding identified in the Related Appeals and Interferences section of the brief as an appendix thereto (37 CFR 41.37(c)(1)(x)).
10. ☒ Other (including any explanation in support of the above items):

See Continuation Sheet.



Laura L. Stockton, Ph.D.  
 Primary Examiner  
 Art Unit: 1626

Continuation of 10. Other (including any explanation in support of the above items): The appeal brief filed on June 22, 2006 does not comply with 37 CFR 41.37(c) which states that the brief shall contain the items under appropriate headings and IN THE ORDER indicated in paragraphs (c)(1)(I) through (c)(1)(X).

- Specifically missing are items:
- 1) "Summary of claimed subject matter" as set forth in 37 CFR 41.37(c)(1)(V), which shall refer to the specification by page and line number;
  - 2) "Evidence Appendix" as set forth in 37 CFR 41.37(c)(1)(IX); and
  - 3) "Related proceedings appendix" as set forth in 37 CFR 41.37(c)(1)(X).

Appellant should also use the proper headings identified in 37 CFR 41.37(c).

A substitute appeal brief that is in compliance with 37 CFR 41.37 is required. The Examiner's Answer of July 28, 2005 is vacated and a new (revised) Examiner's answer will be prepared in accordance with the new rule effective September 13, 2004 in response to the substituted appeal brief.

For more information on the Board's new rules, see the web page entitled "More Information on the Rules of Practice Before the BPAI, Final Rule" at: <http://www.uspto.gov/web/offices/dcom/bpai/fr2004/moreinfo.html>.

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